

$p \nmid f, p \mid q$  Example (M.Z.)

Another example



$$\mathbb{Q}[x] \quad p = (x)$$

$$p^2 = (x^2)$$

$$\mathbb{Q}[x, y] / (y - x^2, y) \cong \mathbb{Q}[x] / (x^2) \neq \mathbb{Q}[x] / (x)$$

$R$  fixed ring

look at homomorphisms  $R \rightarrow R'$   
 where  $R'$  is another ring

$$\varphi: R \rightarrow k$$

$\text{Ker } \varphi$  is prime

if  $a \in R$  is prime  $\Rightarrow R/a$  is a domain  
 $R \rightarrow R/a \subset \underline{F(R/a)}$  is a field

universal property:

$\forall$  field  $k'$  and  $\varphi': R \rightarrow k'$  homomorphism  
 s.t.  $\text{Ker } \varphi' = a \quad \exists! \psi$  which makes diagram

$$\begin{array}{ccc} R & \xrightarrow{\varphi'} & k' \\ \varphi \searrow & & \uparrow \psi \\ & & F(R/a) \end{array}$$

commutative

Misha  
 Elkner

(Remember any homom. of fields is a field extension)

$\mathbb{Q}[x]/x^2$  is a point

It  $a \in R$  is a radical ideal corresponding to  $Z(a) \subset \text{Spec } R$   
 then  $Z(a) = \text{Spec}(R/a)$   
 and  $R/a$  is called the coordinate ring  
 $I(Z(a)) = \text{rad}(a)$

(Chirsn R.)

Confusion  $a$  is prime then

we have

$$Z(a) \subset \text{Spec}(R)$$

and  $a \in \text{Spec}(R)$

(because  $a$  is a point)

Today: Nullstellensatz

Connection between ideals and actual sets of solutions contrary to subsets of  $\text{Spec } R$ .

Setup: Fix a field  $k$ , consider  $k$ -algebra  $R$ . (Def  $R$  is a  $k$ -algebra if we prescribe a map  $k \rightarrow R$  (necessarily injective))  
Example  $R = k[x_1, \dots, x_n] / (f_1, \dots, f_m)$

A homomorphism of  $k$ -algebras is a ring homomorphism  $R \rightarrow R'$  s.t.

this diagram is commutative:  $k \xrightarrow{\text{inclusion}} R \xrightarrow{\text{hom}} R'$   
(don't want  $\mathbb{C}[x] \rightarrow \mathbb{C}[x]$   
 $a_i x^i \mapsto \bar{a}_i x^i$ )

Prop (Tayebah) a  $k$ -algebra is a vector space over  $k$ . (not necc. fin. dim)

$\rightarrow$  Category of  $k$ -algebras.

Many constructions for rings also apply to algebras, e.g. Functor of points.

Various kinds of points:

- 1)  $\text{Spec } R$  each  $p \in \text{Spec } R$  corresponds to  $R \rightarrow F(R/p)$   
 $F(R/p)$  is a field extension of  $k$  (may be infinite)
- 2) Maximal ideals  
 $\text{Spec } m(R) \subset \text{Spec } R$  corresponds to  $R \rightarrow \overline{R/p}$   
(field extension of  $k$ )
- 3) Geometric points: Let  $\bar{k}$  be the algebraic closure of  $k$  (e.g.  $\bar{k} = k$  if  $k$  is alg. closed)  
algebra homomorphisms  $R \rightarrow \bar{k}$ .  
(actually correspond to solutions of systems of equations when  $R = k[x_1, \dots, x_n] / (f_1, \dots, f_m)$ )  
Note:  $\bar{k} = \{ \text{roots of all polynomials in one variable with } k\text{-coefficients} \}$ .  
any  $\varphi: R \rightarrow \bar{k}$  has image contained in some finite extension of  $k$ .

Prop  $\varphi(R)$  is a field  $\Rightarrow \text{Ker } \varphi$  is maximal.

Thm if  $m \subset R$  is maximal,  $R$  finitely generated  $k$ -algebra  $\Rightarrow R/m$  is an algebraic extension of  $k$ .

Cor If  $\bar{k} = k$  then maximal ideals are in bijection with  $k$ -points.

(ideals  $\leftrightarrow$  subsets of  $\text{Spec } m(R)$  will be clear).

$\uparrow$  Nullstellensatz.  
 $\downarrow$  geometric points. break  $\rightarrow$  10.43

Proofs

Prop  $k \subset L$  finite field extension  $L \supset R \supset k$   
 $R$  ring, then  $R$  is a field.

Proof  $x \in R$  Let  $x^n + a_{n-1}x^{n-1} + \dots + a_0$  be minimal polynomial of  $x \Rightarrow a_0 \neq 0 \Rightarrow x^{-1} = \frac{-x^{n-1} - a_{n-1}x^{n-2} - \dots - a_1}{a_0}$   
 $\Rightarrow x^{-1} \in R$ . (geometric points correspond to maximal ideals).

Proof of Thm: Let  $m$  be a maximal ideal.

Consider  $R/m$ . This is a field extension of  $k$ .

$R/m$  is a finitely generated algebra over  $k$ .

We want to prove:  $R/m$  is a finite extension. (fin. dim  $/k$ ).

Let  $x_1, \dots, x_n$  be generators of  $R/m$  as alg.  $/k$ .

$k \subset k(x_1) \subset k(x_1, x_2) \subset \dots \subset R/m$

Let  $k(x_1, \dots, x_i)$  be the subfield of  $R/m$  generated by  $k$  and  $x_1, \dots, x_i$ .

Go from right to left.

$K_i = k(x_1, \dots, x_i) \subset K_{i+1}$

$K_{i+1} = K_i(x_{i+1})$ .

We know  $K_n = R/m$  is finitely generated algebra  $/k$ .

Look at  $K_{n-1}$

2 cases: 1)  $K_n/K_{n-1}$  finite field extension

2) infinite.

I case 1)

Claim:  $K_{n-1}$  is also finitely generated alg  $/k$ .

Consider embedding  $\varphi: K_n \rightarrow$  matrices over  $K_{n-1}$  of size  $r \times r$ .

( $r = \dim K_n/K_{n-1}$ ).

Let  $y_1, \dots, y_m$  be the algebra generators of  $K_n/k$ .

Take  $\varphi(y_1), \dots, \varphi(y_m)$ , take all their entries. Obtain  $m \cdot r^2$  elements of  $K_{n-1}$ . These generate  $K_{n-1}$ .

Pick  $z \in K_{n-1}$ ,  $z$  is a polynomial of  $y_1, \dots, y_m$  with coeffs in  $k$ . ( $z \in K_n$ )  $\Rightarrow \varphi(z)$  as a matrix has entries polynomials in these  $m \cdot r^2$  elements.

but since  $z \in K_{n-1}$ ,  $\varphi(z) = \begin{pmatrix} z & & 0 \\ & \ddots & \\ 0 & & z \end{pmatrix}$ , so in particular  $z$  is a polynomial in these  $m \cdot r^2$  elements.

Continue for  $n-1, n-2$ :

$K_n$  is finite  $/K_{n-1}$   $K_{n-1}$  is f.g.  $/k$

$K_{n-1}$  is finite  $/K_{n-2}$   $K_{n-2}$  is f.g.  $/k$ .

$\vdots$

until

$K_i$  is infinite  $/K_{i-1}$ . (Case 2)

hence  $K_i = K_{i-1}(x)$  (field of rational functions) also  $K_i$  is finitely generated algebra  $/k$ . hence over  $K_{i-1}$ .

Suppose  $K_i$  is generated by  $\frac{p_1(x)}{q_1(x)}, \dots, \frac{p_m(x)}{q_m(x)}$ .

$\rightarrow$  every rational function must have denominator = product of powers of  $q_1, \dots, q_m$ .

On the other hand consider  $\frac{1}{1 + q_1(x)q_2(x) \dots q_m(x)}$ , has denominator relatively prime to  $q_1, \dots, q_m$ .

Conclusion: Case 2 doesn't happen, so  $K_i$

$K_i/K_{i-1}$  is finite.  $\Rightarrow R/m = K_n/k$  is finite.  $\square$

Map  
geometric pts  $\rightarrow$  maximal ideals  
several to one

$$\begin{aligned} \varphi_1: R \rightarrow \bar{k} \\ \varphi_2: R \rightarrow \bar{k} \\ M = \ker \varphi_1 = \ker \varphi_2 \end{aligned} \Rightarrow \begin{aligned} R/M \rightarrow \bar{k} \\ R/M \rightarrow \bar{k} \\ R/M \cong \bar{k} / \bar{k} \end{aligned}$$

different geo. pts correspond to different embeddings  $R/M \rightarrow \bar{k}$ .

Examples

$$\text{circle } x^2 + y^2 = 1 / \mathbb{R} \quad \bar{\mathbb{R}} = \mathbb{C}$$

for  $(x,y) \in \mathbb{R} \quad x^2 + y^2 = 1$

1 geometric point.

$$(R/M = \bar{k}).$$

$$\begin{aligned} \varphi_1 \quad x=i \quad y=\sqrt{2} \\ \varphi_2 \quad x=-i \quad y=\sqrt{2} \end{aligned} \quad \begin{array}{l} \searrow \\ \swarrow \end{array} \quad \begin{array}{l} 2 \text{ geometric} \\ \text{points.} \end{array}$$

correspond to the same max. ideal

because

$$\varphi_1: R \rightarrow \mathbb{C}$$

$$\varphi_2: R \rightarrow \mathbb{C}$$

$$R = \mathbb{R}[x,y]/(x^2+y^2-1)$$

$\mathbb{R}$   
reals

$$R \xrightarrow{\varphi_1} \mathbb{C}$$

$$\Rightarrow \ker \varphi_1 = \ker \varphi_2$$

$\varphi_2$   $\nearrow$  complex conjugation

Similarly in general.

Consider  $Z(a) \subset \text{Spec}(R)$

Let  $Z_m(a) \subset \text{Spec}_m(R) \quad Z_m(a) = Z(a) \cap \text{Spec}_m(R)$

Claim  $I(Z_m(a)) = \text{rad}(a)$ . ( $R$  finitely gen over  $k$ )

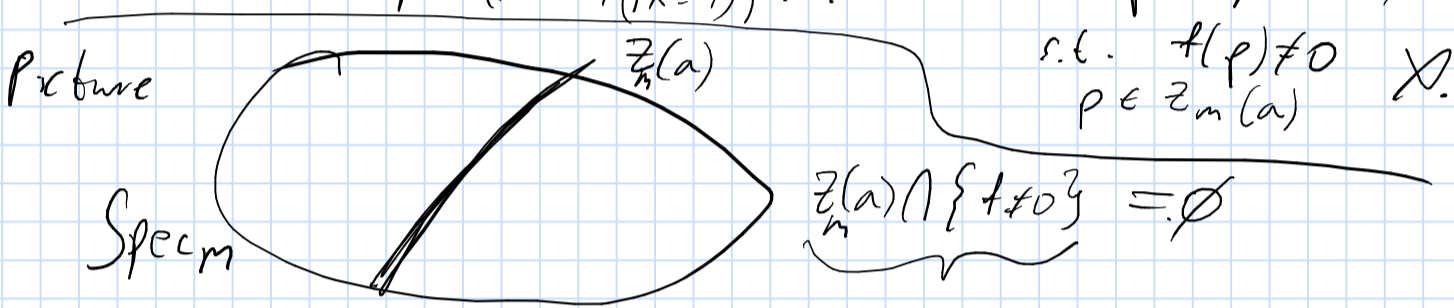
Pf (similarly  $I(Z(a)) = \text{rad}(a)$ )

Consider  $a \in I(Z_m(a))$

Suppose  $f \in I(Z_m(a))$

Consider  $R/a[x]/(f(x-1))$  if this ring is  $\neq 0$

then  $\text{Spec}_m(R/a[x]/(f(x-1))) \neq \emptyset \Rightarrow \exists p \in \text{Spec}_m(R)$



s.t.  $f(p) \neq 0$   
 $p \in Z_m(a)$

$$\Rightarrow R/a[x]/(f(x-1)) = 0$$

$$\Rightarrow 1=0 \text{ in } R/a[x] \text{ mod } (f(x-1))$$

$$\Rightarrow (f(x-1))(c_n x^n + \dots + c_0) = 1 \quad c_i f = c_{i+1}$$

$$\Rightarrow c_0 = 1 \quad c_1 = f \quad \dots \quad c_n = f^n \quad c_{n+1} = f^{n+1} = 0$$

$$\Rightarrow f \in \text{rad}(a)$$

Cor  $\text{rad}(a) = \bigcap_{\substack{m \text{ maximal} \\ m \supset a}} m$  (if  $R$  is finitely generated /  $k$ )

More geometry.

Zariski topology made  $\text{Spec} R$  into a top space.

$f: R \rightarrow R'$  induces  $\varphi^*: \text{Spec} R' \rightarrow \text{Spec} R$  is continuous.

because closed sets = zero sets

$$(\varphi^*)^{-1} Z(t_1, \dots, t_n) = Z(\varphi(t_1), \dots, \varphi(t_n)).$$

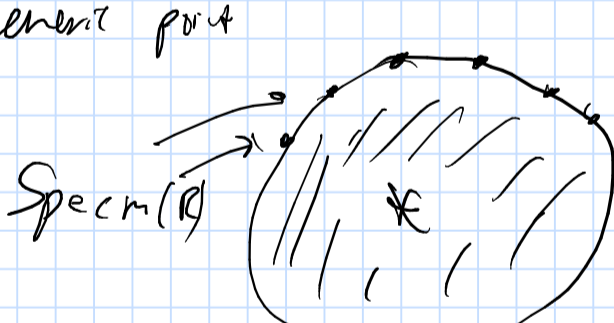
Far from true that all continuous maps come from homomorphisms.

Ex  $R = k[x] \quad \text{Spec}(R) = \dots \dots \dots \} \text{Spec}_m(R)$   
 $\ast$   $(0)$

What is the topology? Closed sets are finite subsets of  $\text{Spec}_m(R)$  and the whole  $\text{Spec}(R)$ .

any bijection  $\text{Spec}_m(R) \rightarrow \text{Spec}_m(R)$  induces a continuous map.

Notice  $\ast = \overline{\ast} = \text{Spec}(R)$ .  $\ast$  is called generic point



Not Hausdorff "bad space".

Prop  $p \in \text{Spec}(R)$  so that  $\overline{\{p\}}$  is closed

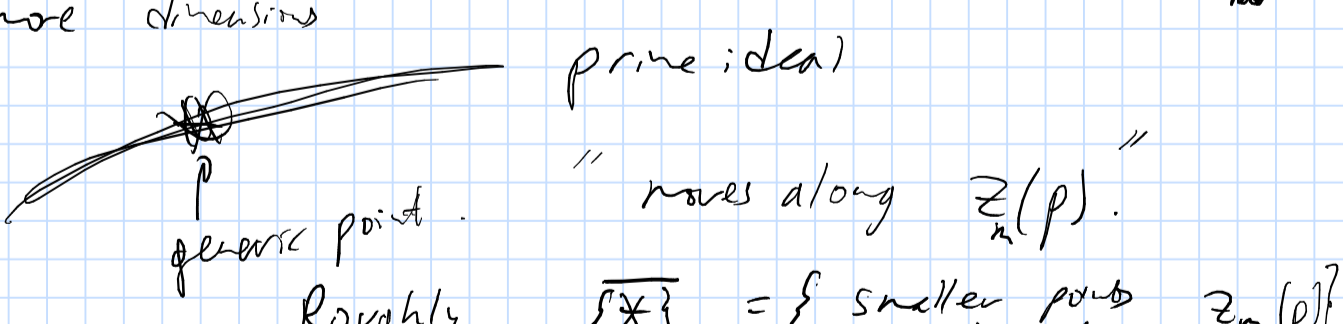
are precisely the maximal ideals.

Proof  $\overline{\{p\}} = Z(I(\overline{\{p\}})) = Z(p) = \{q \in \text{Spec}(R) \mid p \subset q\}$

$p$  maximal  $\Rightarrow \overline{\{p\}} = \{p\}$

$p$  not maximal  $\Rightarrow \exists q$  maximal  $q \supset p$   
 $\overline{\{p\}} \ni q \Rightarrow \overline{\{p\}}$  not closed.

In more dimensions



Def A scheme is a ringed space locally isomorphic to  $\text{Spec}(R)$  for some rings  $R$ .

Def A ringed space is a top. space  $X$  together with a "structure sheaf":

$\forall U \subset X$  open a ring  $\mathcal{O}_U$ , for each

$U \subset V$  open a restriction homomorphism  $\mathcal{O}_V \rightarrow \mathcal{O}_U$

Such that

1) if  $\{U_\lambda\}_{\lambda \in \Lambda}$  so that  $U = \bigcup_{\lambda} U_\lambda$  then

$$\mathcal{O}_U \rightarrow \prod_{\lambda} \mathcal{O}_{U_\lambda} \quad \text{is injective}$$

2) in the above situation if

$f_\lambda \in \mathcal{O}_{U_\lambda}$  is given  $\forall \lambda$  s.t.

$$\forall \lambda, \mu \quad f_\lambda|_{U_\lambda \cap U_\mu} = f_\mu|_{U_\lambda \cap U_\mu} \quad \text{in } \mathcal{O}_{U_\lambda \cap U_\mu}$$

then  $\exists f \in \mathcal{O}_U$  whose restriction to  $U_\lambda$  is  $f_\lambda$ .

3)  $U \subset V \subset W: \mathcal{O}_W \rightarrow \mathcal{O}_V \rightarrow \mathcal{O}_U = \mathcal{O}_W \rightarrow \mathcal{O}_U$ .