

$R$  ring  
for functions

$p$  prime  $\subset R$  points

Prop If  $U_f$  affine open

is covered by  $U_{g_i}$  affine opens

then 1)  $O(U_f) \rightarrow \prod O(U_{g_i})$  is

injective

2) If  $x_i \in O(U_{g_i})$  satisfy

$$\forall i, j \in U_{g_i} \cap U_{g_j}, \quad x_i = P_{U_{g_i} \cap U_{g_j}}(x_j)$$

$$\Rightarrow \exists x \in O(U_f) \text{ s.t.}$$

$$P_{U_{g_i}}(x) = x_i$$

This is called the sheaf property.

Proof Last time:

$$f^n = \sum a_i g_i \quad \text{some } a_i \in R$$

$a_i = 0$  except

finitely many.

injectivity:  $x \in O(U_g)$  suppose  $P_{U_g}(x) = 0$

$$\Rightarrow \text{in the ring } R[t]/(t^{g_i-1})$$

$x$  is zero  $\Leftrightarrow$

$$x = P(t)(t^{g_i-1}) =$$

$$= (c_k t^k + \dots + c_0) (t^{g_i-1})$$

$$= c_k g_i t^{k+1} + (c_{k-1} g_i - c_k) t^k + \dots - c_0$$

$$c_0 = -x, \quad c_1 = -g_i x, \dots, \quad c_k = -g_i^k x$$

$$c_k = 0$$

$$\text{so } x \text{ goes to } 0 \text{ in } O(U_g) \Leftrightarrow$$

$$g^k x = 0, \text{ some } k.$$

So assume  $g_i^k x = 0 \quad \forall i$  which

enters the expansion  $f^n = \sum a_i g_i (x)$

take  $f^{\text{num}}$

$m = \# \text{ of non-zero coefficients in } (x)$

$m$  expansion of  $f^{\text{num}}$  each term

contains at least one  $g_i^m \Rightarrow$

then  $x = 0 \Rightarrow x = 0$ .

Part II. Suppose we know

$P_{U_i}(x) = x_i$ , we want to construct.

Replace the covering  $\{U_i\}$  by

the sub covering containing only

$U_i$  for which  $a_i \neq 0$ . This is

a finite covering.  $U_1, \dots, U_m$

(see ex.)

before the

proof:

Replace  $y_i$  by  $y_i^{m'}$

$k$  by  $k+m'$

hence we can assume

$$y_i - x_i g_i^k = 0 \quad \text{in } O(U_i)$$

$\forall i, s$ .

Continue the proof from the beginning

we have  $\boxed{(y_i - x_i g_i^k)(g_i g_i)^{m'} = 0}$

$$\boxed{(y_i - x_i g_i^k) g_i^{m'} = 0 \text{ on } U_i}$$

$$\boxed{y_i g_i^{m'} - x_i g_i^{k+m'} = 0}$$

before the

proof:

Replace  $y_i$  by  $y_i^{m'}$

$k$  by  $k+m'$

hence we can assume

$$y_i - x_i g_i^k = 0 \quad \text{in } O(U_i)$$

$\forall i, s$ .

Continue on dimensions.

Recall  $ht(p) = \max_{p \subset R}$  length of a chain

$$P_0 \subset \dots \subset P_n = p$$

Another idea

Def  $g(p) = \min_k \{ p \text{ is a minimal prime containing } (f_1, \dots, f_k) \}$

not always radical

it's radical not

always prime,

thereby many

minimal primes

containing it.

Theorem R Matheron  $\Rightarrow g(p) = ht(p)$   $\forall$  primes  $p$ .

Suppose  $P_0 \subset P_1 \subset \dots \subset P_k$  is

a maximal chain

then  $P_{i+1}$  is a minimal prime

containing  $P_i + (f_i)$ , some  $f_i \in P_{i+1}$

$\Rightarrow P_k$  is a minimal prime  
containing  $(f_1, \dots, f_k)$

$\Rightarrow g(p) \leq ht(p)$ . EASY!

We want:  $ht(p) \leq g(p)$

in particular,  $ht(p) \neq \infty$ .

Equivalently

if  $p$  is a minimal prime containing  $(f_1, \dots, f_k)$   $\Rightarrow ht(p) \leq k$ .

Examples

$k=0$ :

clear

$k=1$ :

Krull's

Hauptidealsatz

Idea: induction on  $k$ .

Let's prove the step:

$p$  minimal prime  $\Rightarrow (f_1, \dots, f_k)$

Suppose  $q \subset p$  prime so that

there are no primes in between.

W.L.O.G.  $f_1 \notin q \Rightarrow$

$p$  is a minimal prime containing

$q + (f_1)$

We want to replace  $f_2, \dots, f_k$  by

some elements  $g_2, \dots, g_k \in q$

so that  $p$  is a minimal prime

containing  $(f_1, g_2, \dots, g_k)$

If we succeed, then

$(g_2, \dots, g_k) \subset q' \subset q \subset p$

$q'$  is a minimal prime containing  $(f_1)$

in  $R/(f_1, \dots, g_k)$   $p \Rightarrow$  a minimal prime

containing  $(f_1)$

$q$  is another prime, by

case  $k=1$  (Krull's Hauptidealsatz)

we have  $q$  is a minimal prime

containing  $(g_2, \dots, g_k) \Rightarrow ht(q) \leq k-1$

$q$  arbitrary  $\Rightarrow ht(p) \leq k$ .

$f_1, \dots, f_k$

$f_2, \dots, f_k \rightarrow g_2, \dots, g_k \in q$ .

$\text{rad}(q + (f_1))$  has a minimal prime  $p$

$(f_1, \dots, f_k) \subset p$   $f_i \in \text{rad}(q + (f_1))$ ?

Obstruction:

maybe there are

many minimal primes.

Solution: localization at  $p$ .

$\text{rad}(f_1, \dots, f_k) = p, p \neq f_i$



## Localization

Setup  $R$  ring

$S \subset R$  multiplicative set if  
 $1 \in S, x, y \in S \Rightarrow xy \in S$ .

Example  $\frac{1}{p} \in R$  is prime  $\Leftrightarrow$   
 $R \setminus p$  is multiplicative.

Form localization  $S^{-1}R (= R_p)$  if

as a set  $S^{-1}R = \left\{ \frac{x}{s} \mid s \in S, x \in R \right\}$

$\sim \frac{x}{s} = \frac{x'}{s'} \text{ if } \exists u \in S \text{ s.t. } (xs' - sx')u = 0$ .

$\frac{x}{s} = 0 \Leftrightarrow \exists u \in S \text{ s.t. } xu = 0$ .

(Recall for  $O(U_f)$ )

we have seen that

$x \in R$  goes to 0

in  $O(U_f)$  if  $x \notin f^{-1}(0)$

so even

so this was a special  
case for  $S = \{f^n \mid n \geq 0\}$

As a set  $S^{-1}R$  is clear

Any fraction  $\frac{x}{s} \wedge u \in S$

we have  $\frac{xu}{su} = \frac{x}{s} \quad (xu - xs = 0)$

Equiv. relation:  $\frac{x}{s} = \frac{x'}{s'} \quad \frac{x'}{s'} = \frac{x''}{s''}$

$(xs' - x's)u = 0$

$$\frac{x}{s} = \frac{x'su}{ss'u} = \frac{x'su}{ss'u} = \frac{x'}{s'}$$

$$\Rightarrow \frac{x}{s} = \frac{x'}{s'} \Leftrightarrow \exists u_1, u_2 \text{ s.t. } xu_1 = x'u_2$$

$$s u_1 = s' u_2$$

$$\frac{x'}{s'} = \frac{x''}{s''} \quad x'u_3 = x''u_4$$

$$s'u_3 = s''u_4$$

$$x u_1 u_2 = x' u_2 u_3 = x'' u_3 u_4$$

$$s u_1 u_2 = s' u_2 u_3 = s'' u_3 u_4$$

$$\frac{x}{s} = \frac{x''}{s''}$$

Abelian group: Any 2 elements

can be made to have the

same denominator, as

$$\frac{x}{s} + \frac{x'}{s'} = \frac{x+s'}{s}$$

Product:  $\frac{x}{s} \cdot \frac{x'}{s'} = \frac{xx'}{ss'}$

$\frac{1}{1} = 1$  be unit.

so  $S^{-1}R$  is a ring.

Universal property:

$\text{Hom}(S^{-1}R, R') = \left\{ f: R \rightarrow R' \mid \forall s \in S \text{ s.t. } f(s) \text{ is invertible} \right\}$

$\int \text{F}_{S^{-1}R}(R') \subset \text{F}_R(R')$

$\Downarrow$

exists  $R \rightarrow S^{-1}R$

$x \in R \rightarrow \frac{x}{1}$ . Clearly a ring

homomorphism.

Proof of the universal property:

Given  $S^{-1}R \rightarrow R'$ , compose it with

$R \rightarrow S^{-1}R$ , obtain  $R \rightarrow S^{-1}R$

$\forall s \in S$  it goes to  $\frac{s}{1} \in S^{-1}R$ ,

where it is invertible ( $\frac{s}{1} \cdot \frac{1}{s} = 1$ )

so in  $R'$  the image is also invertible.

$f: R \rightarrow R'$  s.t.  $f(s)$  is invertible

we need  $S^{-1}R \rightarrow R'$ .

Send  $\frac{x}{s}$  to  $f(x) \cdot f(s)^{-1}$  unique inverse

if replace  $\frac{x}{s}$  by  $\frac{xu}{su}$   $u \in S$ ,

obtain  $f(xu) \cdot f(u)^{-1} = f(x) \cdot f(u) \cdot f(u)^{-1} \cdot f(s)^{-1}$

$= f(x) \cdot f(s)^{-1}$ .

so the map is well-defined.

abelian group homomorphism

$$\frac{x}{s} + \frac{x'}{s'} \rightarrow \frac{f(x+x')}{f(s)}$$

holds.

multiplicative also clear.  $\square$

Ex R domain  $R_{(0)} = S^{-1}R$

(0) prime  $(S = R \setminus \{0\})$

$F(R) = R_{(0)}$ .

Ideals Given  $a \subset R$  ideal we

have  $S^{-1}a = \left\{ \frac{x}{s} \in S^{-1}R \mid x \in a \text{ (some } s \in S\text{)} \right\}$

$xu \in a \Rightarrow xu' \in a$

$\frac{x}{s} \in S^{-1}a \Leftrightarrow \frac{xu}{su} \in S^{-1}a$  according

to our definition, so  $S^{-1}a$  is well-defined.

w.r.t.  $\pi: R \rightarrow S^{-1}R$

$\pi(a) = \pi(a) \cdot S^{-1}R \subset a$

Conversely  $\frac{x}{s} \in a \Rightarrow \frac{x}{s} \in a$

$\Rightarrow x \in \pi^{-1}(a) \Rightarrow \frac{x}{s} = \frac{1}{s} \cdot \pi(x) \in \pi(a) \cdot S^{-1}R$ .

Example  $S^{-1}(0) = \{0\}$

$\pi^{-1}(0) = \left\{ x \in R \mid xs = 0 \text{ (some } s \in S\text{)} \right\}$

ideals of  $S^{-1}R \xrightarrow{\text{bijection}} \text{subset of}$

via  $\pi^{-1}$  ideals of  $R$

Hence  $R$  noetherian  $\Rightarrow S^{-1}R$  noetherian

Remark If  $S$  is generated by

fin. many elements  $\Rightarrow S^{-1}R$  is

finitely generated over  $R$ .

$\Rightarrow S^{-1}R$  is noetherian.

Prime ideals

$\pi^{-1}(\text{prime})$  is a prime, so

$\text{Spec}(S^{-1}R) \subset \text{Spec}(R)$ .

Prop  $p \subset R$  prime belongs to

$\pi^{-1}(\text{Spec}(S^{-1}R)) \Leftrightarrow p \cap S = \emptyset$ .

Proof K field

A homomorphism  $R \rightarrow K$

comes from a hom.  $S^{-1}R \rightarrow K \Leftrightarrow$

$\forall s \in S$  goes to  $\pi(s) \in K$ .

$\Rightarrow S \cap K = \emptyset$ .  $\square$

Prop If  $p$  is a prime  $\subset R$

$\Rightarrow \text{Spec}(R_p) \subset \text{Spec}(R)$  consists of

prime ideals contained in  $p$ .

Notation:  $a_p = S^{-1}a$

Cor  $R_p$  has a unique maximal

ideal  $S^{-1}p = p_p$ .

Def A ring with a unique maximal

ideal is called a local ring.

Be here

$(f_1, \dots, f_n) \subset p$  minimal

$q \subset p$   $q + (f_1, \dots, f_n) \subset p$  minimal

pass to  $R_p$ , think  $R = R_p$

$\pi: R_p \rightarrow K$  be max. ideal

$\text{rad}(f_1, \dots, f_n) = p$

$\text{rad}(q + (f_1, \dots, f_n)) = p \Rightarrow f_1, \dots, f_n \in q$

$\Rightarrow f_i = g_i + c_i f_j \quad g_i \in q$

$c_i \in R$

$\Rightarrow \text{rad}(g_1, \dots, g_n, f_1) = p$ .

we use the strategy we outlined.

Next time: Krull's Hauptidealatz.